

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/660,382 Confirmation No. 6022
Applicant : Graetz et al.
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Examiner : Lee, Cynthia K
For : High-Capacity Nanostructured Silicon and Lithium Alloys Thereof
Docket No. : 26-06
Customer No.:23713

Commissioner for Patents
MAIL STOP AMENDMENT
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF EFS-WEB FILING	
I hereby certify that this correspondence is being filed with the USPTO EFS-WEB system.	
<u>April 14, 2010</u> Date	<u>/laurasedlacek/</u> Laura Sedlacek

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

The Examiner is respectfully requested to consider the references, copies enclosed, which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached form prepared by Applicants; this form is generally based on Patent and Trademark Office form PTO/SB/08a, but is not a USPTO form. Pursuant to 37 C.F.R. 1.98(a)(2)(ii), copies of the cited U.S. patent and U.S. patent application publication are not included, but will be provided upon request. A copy of one foreign reference is enclosed herewith.

This information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of any accompanying form, whether such form is generated by Applicant or is a USPTO form, is not an admission that all listed references are prior art. No representation is made that applicants know of the best art.

International Publication No. WO 03/073535 is provided, in part, in Japanese. Applicants are not in possession of an English translation of the Japanese portions of this reference. The relevance of this reference can be found in the English translation of the abstract and title provided with this submission.

It is believed that this submission requires a fee of \$180.00 under C.F.R. 1.97(c)(2), which is being paid electronically in conjunction with this EFS submission. If this is not correct, or if problems are encountered using the EFS system, please charge and deficiency or refund any difference to deposit account no. 07-1969.

Respectfully submitted,

/sbbaroneREG53968/

Stephen B. Barone
Reg. No. 53,968

GREENLEE, WINNER AND SULLIVAN, P.C.

4875 Pearl East Circle, Suite 200

Boulder, CO 80301

Telephone: (303) 499-8080

Facsimile: (303) 499-8089

E-mail: usptomail@greenwin.com

Attorney docket No. 26-06

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